

Towards a more sophisticated and implicit formulation of surface layer processes for COSMO and ICON

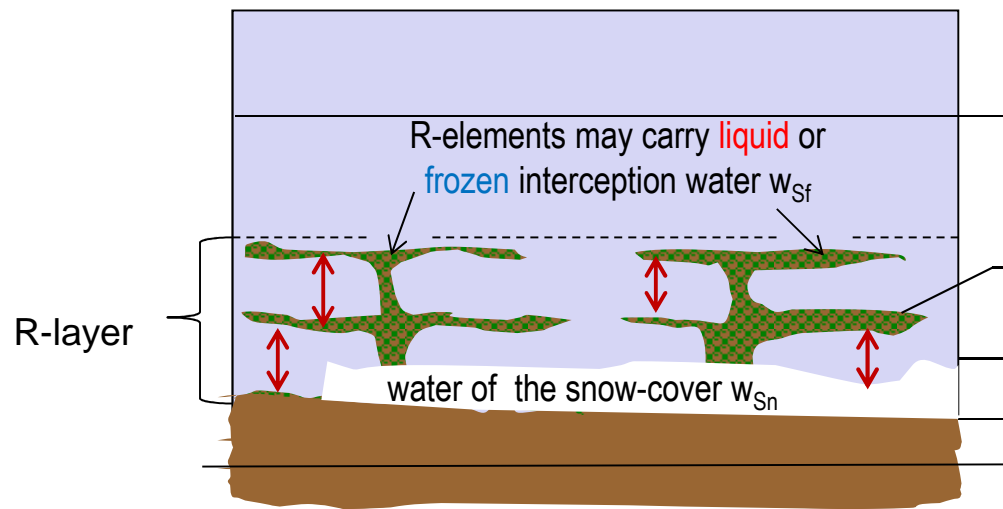
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Numerical and conceptual effects of a reformulated SAT

- ✓ Analysis of the current simplified, explicit and sequential chain of surface-processes in TERRA
- ✓ Implicit treatment of surface-temperature including
 - treatment of the snow-temperature (implicitly coupled with soil and its surface)
 - additional feedback of surface temperature via
 - thermal stability-effect of atmospheric transfer-velocity
 - freezing and melting of interception-water and falling precipitation
 - potential of treating roughness-layer effects (surface-temperature decoupled from compact soil)



Illustration of a realistic surface concept:



↕ : inter-surface exchange of sensible-heat and (mainly long-wave) radiation

A : indicator of the lowermost full atm. level

S := B + C : area and indicator of total surface

C : area and indicator of the cover-surface (surface of all R-elements)

S_n : indicator of the snow-cover surface

B : area and indicator of the bare-soil surface

B₁ : indicator of the uppermost full soil level

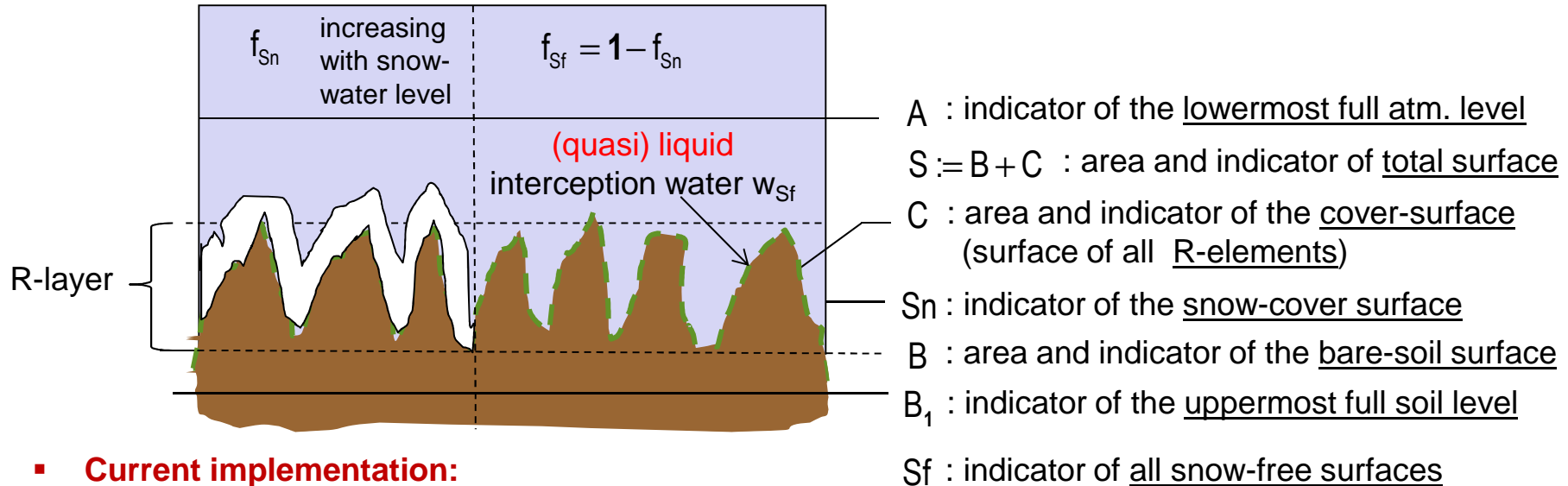
S_f : indicator of all snow-free surfaces

▪ **Critical properties** of the **real** surface:

- **R-elements** are **substantial**, **semi-transparent** and **thermally loosely coupled** to **B**.
 - Their temperature T_C may be different from T_B , which is **distinctive** from T_{B_1} .
 - They can **shade B** and **S_n** from radiation.
 - They **exchange sensible-heat** and (**long-wave**) radiation with **B**.
 - They may carry **liquid and frozen** interception water w_{Sf} dependent on T_C .
- **In case of a present snow-cover**, snow-free parts of **S** are mainly parts of **C**.
 - **R-elements vanish** within a deep snow-cover.
 - Distribution of **snow cover** alters aerodynamic **R-length (z_0)** and **short-wave albedo**.
 - **Snow/rime** and **black-ice** have **different short-wave albedo**
- **Coexistence of liquid and frozen interception-water** is possible.
 - **Smooth transition** due to variance of T_{Sf} .



Idealized and incomplete surface concept of the current implementation:



▪ **Current implementation:**

- **Total land-use surface** is a topographic enlargement of the **horiz. ground** by the factor SAI.
 - **thermally coupled with the soil like the bare-soil surface**
 - with fractions of **distinctive Sf-evaporation**: bare-soil, vegetated, wetted, or sealed)
 - **snow-cover** always **thermally coupled with soil like an additional soil layer**
- **R-elements do not cover (shade) the bare-soil surface B**, as they are rather a part of it!
 - only **one Sf surface-temperature** $T_{S_f} = T_C = T_B = T_{B_1}!!$
- **R-elements** carry **only liquid interception water**.
 - oper. COSMO: **rime** is treated as **part of the snow-cover**
- Mass (heat-capacity) of R-elements (including w_{S_f}) is **neglected**.
 - they are treated like a pure **additional transport-resistance** for sensible and latent heat.
- **Snow-cover follows surface-structure** (some snow-effect on z_0 **only** in ICON).



Some consequences of the **incomplete surface-concept**:

- **Too strong thermal coupling between surface and compact soil:**
 - **Overestimated heat-flux into or from the ground:**
 - Artificially **reduced diurnal cycle** of mean surface temperature T_S !
- **Snow-covered B below snow-free C can't be represented:**
 - **No heating of R-layer air above a shaded snow-cover possible:**
 - ❖ **Snow is artificially "pushed together" to obtain S_f surfaces with $T_{Sf} > T_{melt}$.**
 - Always a **S_f part of B** present, which may
 - perform **overestimated bare-soil evaporation** at $T_{Sf} > T_{melt}$
 - ❖ that is artificially reduced.
 - **push** some **heating dedicated for the surrounding air into the soil.**
 - raise T_{B1} , leading to **overestimated snow-melting from below:**
 - ❖ Introduction of dynamic sub-tiles for S_n and S_f with individual $T_{B1, \dots}$
 - Large spreading of rime | fresh-snow can't be captured
 - **S_n (showing potential evaporation) is too small | individual snow-depth is too large**
 - ❖ Introduction of rime as part of w_{Sf} | Snow-depth calculated by a special fresh-snow fraction **but: albedo-effect, and heat release for dew<->rime transition is not yet described!**
 - **No simulation of snow-albedo reduction by snow-free C above a snow-cover:**
 - ❖ Treatment of "dirty" snow ("polluted" by R-Elements) with reduced albedo, which
 - **pushes** some **heating dedicated for the surrounding air into the snow-pack**
 - raises T_{Sn} , leading to **overestimated snow-evaporation**
 - ❖ that is artificially reduced.

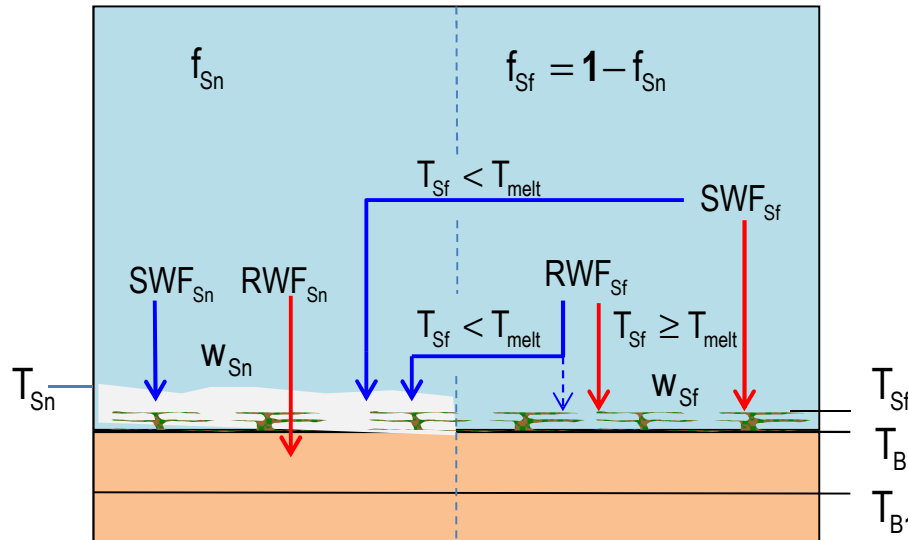
❖ : indicator for "dirty" fixes in current ICON-version



substantial, semi-transparent cover-layer (canopy), being thermally loosely coupled to the compact soil!



Current explicit and sequential treatment of precipitation and interception water:



RWF : rain-water flux-density

SWF : snow-water flux-density (including graupel)

PWF := RWF + SWF : precipitation-water flux-density

PHF : latent-heat flux-density (from PWF phase-transit.)

χ^0 : any variable valid for the **previous time level**

– **Rime-fall onto Sn** is a part of SWF_{Sn} .

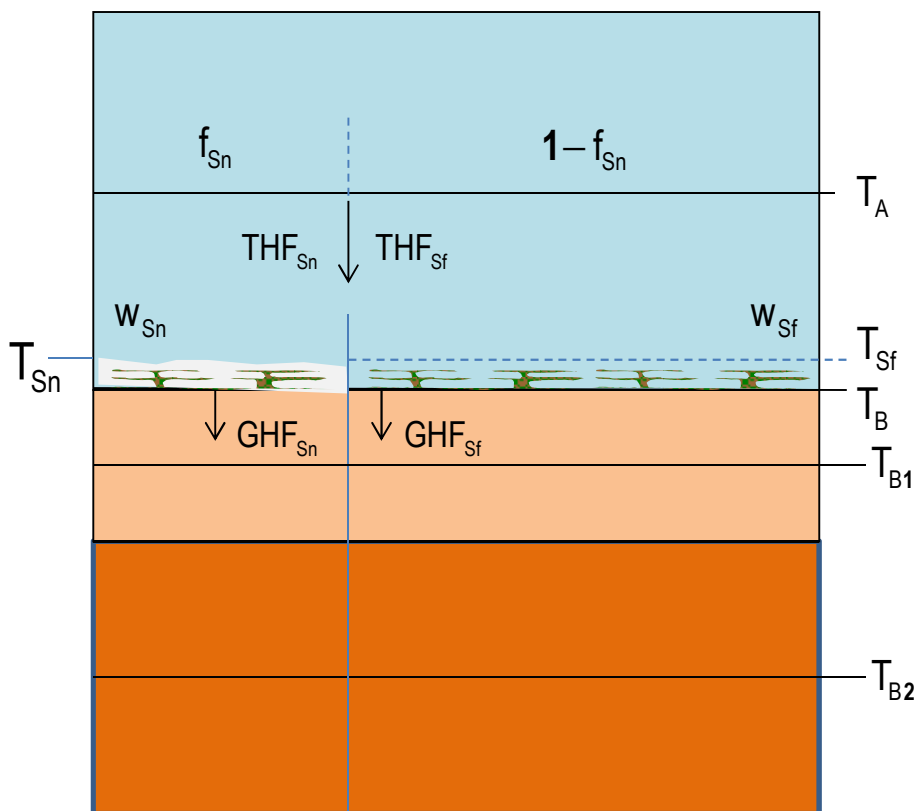
– **Rime-fall onto Sf** either contributes
 ○ to w_{Sn} as well (COSMO) or
 ○ to w_{Sf} as part of a **frozen fraction** (ICON)

- RWF_{Sn} is offered for **infiltration**.
- **Explicit evaporation**: applied to w_{Sf}^0 ; **artificially restricted** to avoid negative water levels.
- **Thereafter**, remaining PWF_{Sf} charges w_{Sf} , and a drip-water rate DWF_{Sf} reduces it.
- w_{Sf} is **afterwards** limited to remain **positive-definite** and **below a maximal capacity**
- **Exceeding water** spills and is offered for **infiltration**.
- **Freezing** RWF_{Sf} provides a **positive** contribution to PHF_{Sf} (flux-density of latent **freezing** heat).
- **Melting** SWF_{Sf} provides a **negative** contribution to PHF_{Sf} (flux-density of latent **melting** heat).
- **Explicit** treatment of w_{Sf} with **consecutive processes splitting** in an **arbitrary order**:
 - **Evaporation** may be **restricted**, **although it is raining!**
 - **PWF-conversions** may be **too excessive**, since their **implicit effect on T_{Sf}** is **not considered!**
- Even if rime is considered as part of w_{Sf} , **freezing or melting of w_{Sf}** has **no caloric effect** so far!



Current **explicit treatment** of **surface-heat flux-densities**:

$$THF_{Sx} = [PHF + SRF + LRF_d + LRF_u + SHF + LHF]_{Sx}$$



- THF : total-heat flux-density towards the surface
- SRF : shortwave-radiation flux-density tow. the surface
- LRF : longwave-radiation flux-density tow. the surface
- SHF : sensible-heat flux-density tow. the surface
- LHF : latent-heat flux-density tow. the surface related to the water vapour flux-density VWF
- GHF : ground-heat flux-density towards the soil

- $GHF_{Sf} = THF_{Sf}^0$ conductivity of the snow-pack

- $GHF_{Sn} = GHF_{Sn}^0 = -\alpha_B^{Sn} \cdot (T_{Sn}^0 - T_{B1}^0)$

- $GHF = f_{Sf} \cdot GHF_{Sf} + f_{Sn} \cdot GHF_{Sn}$ **explicit** flux-density

- solution of **implicit equation** of **soil-heat conduction** $\rightarrow T_{B1}, T_{B2}, \Lambda$

- $T_{Sf} = T_B = T_{B1}$

- T_{Sn} from almost **explicit snow-heat budget**:

$$THF_{Sn}^1 - GHF_{Sn}^0 = [\rho_{Sn} c_{Sn}]^0 \frac{T_{Sm} - T_{Sm}^0}{\Delta t} \quad T_{Sm} = \frac{1}{2} \cdot (T_{Sn} + T_{B1})$$

- **Sensible heat associated with water fluxes** is **never** considered **all over the model!**

- **Soil water distribution** is carried out based on **previous explicit infiltration** with an (incomplete) implicit extension **singularity for vanishing snow-depth!**

- **Melting of snow and freezing | melting of soil-water** executed **explicitly afterwards**.

- o **Melted snow-water** and its needed **melting-heat** is **balanced with the uppermost soil-layer**.



Consequences of the **explicit** surface-coupling:

- Lots of **explicit limitations** to avoid **unrealistic** or even **unphysical** increments
 - **arbitrary, artificial** and often **inconsistent** manipulations
- Potential **numerical instabilities** due to **feedback oscillations**
 - **2dt-oscillations** of T_{Sf} and T_{Sn}
 - Could largely be **compensated** by an **artificial flux-limiter** in COSMO
 - With a **large model-time step** of **about 6min or more** (as used with ICON):
 - **Amplitudes** up to **more than 80K** and some **model crashes!**
(even with an **active flux-limiter**)
 - The **problems** mainly occur at **grid-points** related to the **following properties**:
 - **strong** radiation forcing (tropics)
 - a **thin** (developing or vanishing) **snow-cover**
 - **frozen** precipitation at **warm** surface | **liquid** precipitation at **frozen** surface
 - **disappearing** interception-water or snow-water
 - **fractional** snow cover (ICON: particularly with **dynamical Sf** and **Sn sub-tiles**)
 - **freezing/melting** of soil water or **melting** of snow

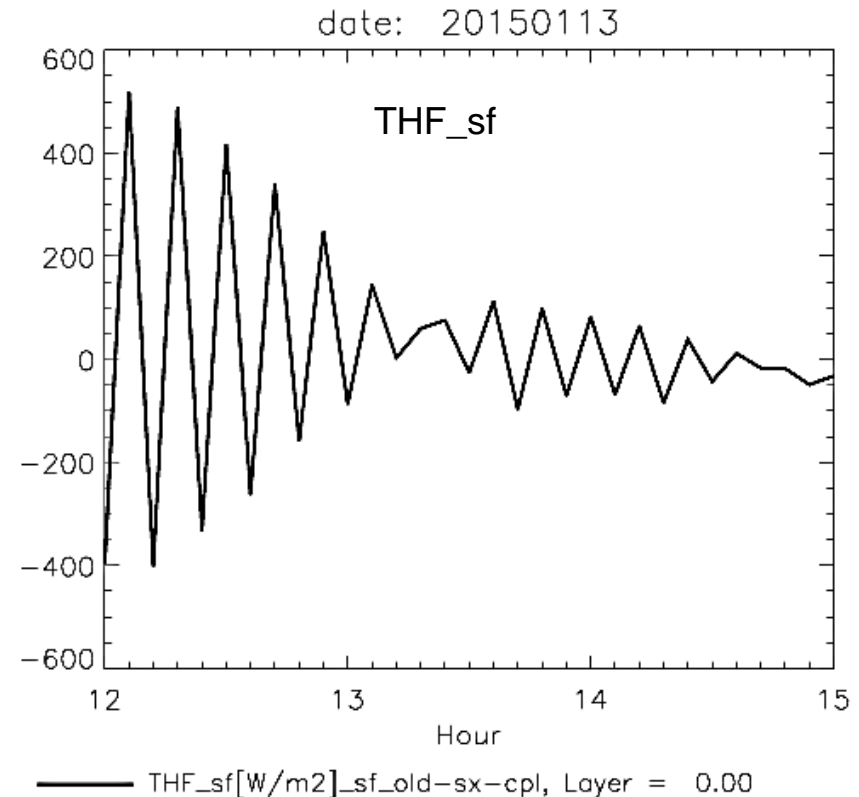
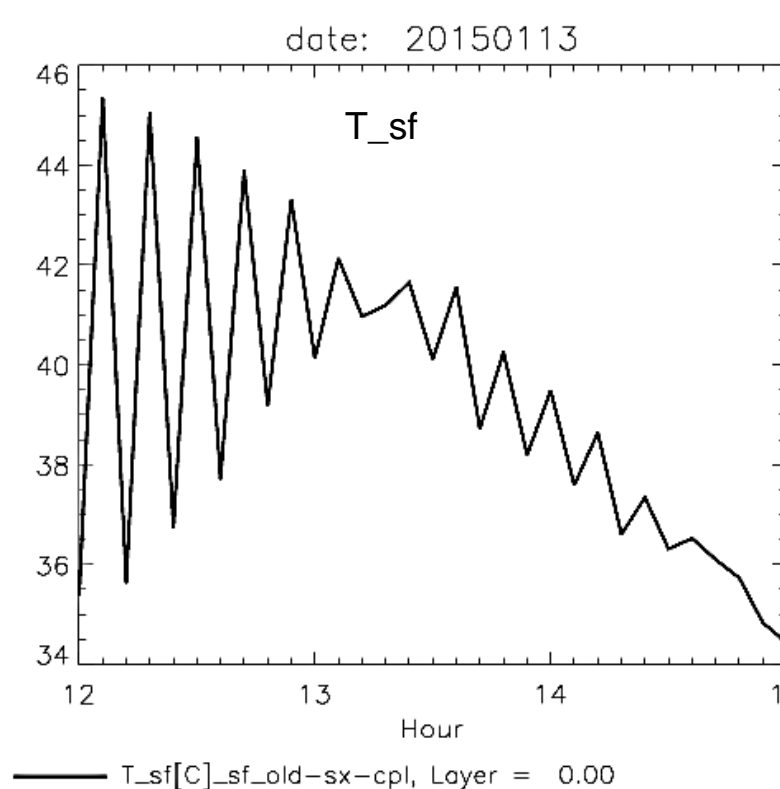


- ❖ **Implicit surface-coupling** with regard to T_{Sf} and T_{Sn}
- ❖ **Implicit formulation** of w_{Sf} -evolution



Test-grid-point Kenia (+33.71 +7.89) :

- After-noon situation; tropical hot with strong radiation forcing
- 3 hour ICON-global test-run (R2B6, dt=6min) with
- **implicit defaults** of the new development version of SAT-formulation (mainly TERRA)
- Emulation of so far operational **explicit surface coupling** only for a special grid-point



old-sx-coupling: (isc=0; fes=0; ifb=0)

- **Strong 2*dt- oscillations with old coupling around noon and strong radiative forcing!**



New linear-implicitly coupled budget equations at the surface : (completely implemented)

$$\text{THF}_{S_x} = [\text{PHF} + \text{SRF} + \text{LRF}_d + \text{LRF}_u + \text{SHF} + \text{LHF}]_{S_x} \quad \text{total implicit heat flux-density towards the surface}$$

$$= \text{THF}_{S_x}^0 + \boxed{\partial_{T_{S_x}} [\text{LRF}_u + \text{SHF} + \text{LHF}]_{S_x}^0 \cdot (T_{S_x} - T_{S_x}^0)} \quad \text{explicit flux-density + implicit extension}$$

total (virtual) conductivity

$$\partial_{T_{S_x}} [\text{LRF}_u]_{S_x}^0 = -4\sigma\epsilon_0 \cdot (T_{S_x}^0)^3$$

$$\partial_{T_{S_x}} [\text{SHF}]_{S_x}^0 = -[\rho_s u_s^H]^0 \cdot c_p$$

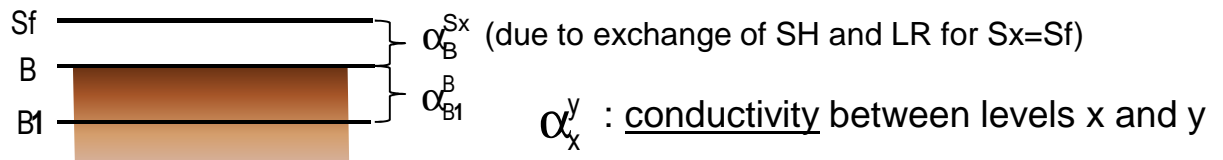
atmospheric transfer-velocity for scalars
specific humidity at saturation

$$\partial_{T_{S_x}} [\text{LHF}]_{S_x}^0 = -[\rho_s u_s^H \cdot f_{S_x}^{\text{red}} \cdot d_{T_{S_x}}^{\text{sat}} \cdot L_{\text{ev}}]_{S_x}^0$$

specific reduction of actual evaporation

**concatenation
of resistances**

$$\text{GHF}_{S_x} = - \boxed{\frac{\alpha_B^{S_x} \cdot \alpha_{B1}^B}{\alpha_B^{S_x} + \alpha_{B1}^B}} \cdot (T_{S_x} - T_{B1})$$



$$\text{THF}_{S_n} - \text{GHF}_{S_n} = [\rho_{S_n} c_{S_n}]^0 \frac{T_{S_m} - T_{S_m}^0}{\Delta t} \boxed{\frac{1}{n_{S_n} \rightarrow 0} > 0}$$

reduces to **implicit flux-balance** for vanishing snow-depth

$$T_{S_m} = \frac{1}{2} \cdot (T_{S_n} + T_B) \quad \text{linear vertical T-profile of snow-pack}$$

$$\text{THF}_{S_f} - \text{GHF}_{S_f} = [\rho_c c_c]^0 \frac{T_{C_m} - T_{C_m}^0}{\Delta t} \boxed{\frac{1}{C_c \rightarrow 0} > 0}$$

reduces to **implicit flux-balance** for **currently applied skin-layer approximation:**

$$T_{C_m} = \frac{1}{2} \cdot (T_C + T_B) \quad \text{linear vertical T-profile of R-layer}$$

$$\boxed{\begin{matrix} C_c \rightarrow 0 \\ \alpha_B^{Sf} \rightarrow \infty \\ T_C \rightarrow T_B \end{matrix}}$$



Resulting matrix of the extended linear system:

- All 2 + k soil budgets are always present (even for $f_{sn}=0$ or $f_{sn}=1$)
- They are linearly coupled in the temperatures:

altered

created

	Sn	Sf	B1	B2	B3	...		
isc	a_{Sn}^{Sn}		a_{Sn}^{B1}				T_{Sn}	d_{Sn}
fes		a_{Sf}^{Sf}	a_{sf}^{b1}				T_{Sf}	d_{Sf}
ifb	a_{B1}^{Sn}	a_{B1}^{Sf}	a_{B1}^{B1}	a_{B1}^{B2}			T_{B1}	d_{B1}
			a_{B2}^{B1}	a_{B2}^{B2}	a_{B2}^{B3}		T_{B2}	d_{B2}
	\vdots			a_{B3}^{b2}	a_{B3}^{B3}	a_{B3}^{B4}	\vdots	\vdots

=

- Can easily be tri-diagonalized by matrix-operations and solved by the standard solver
- Partly reducible by parameters:

isc: degree of corrected implicit coupling of T_{sn} to the soil- and atm. temperatures

fes: degree of considered flux-equilibrium in diagnostics of T_{sf}

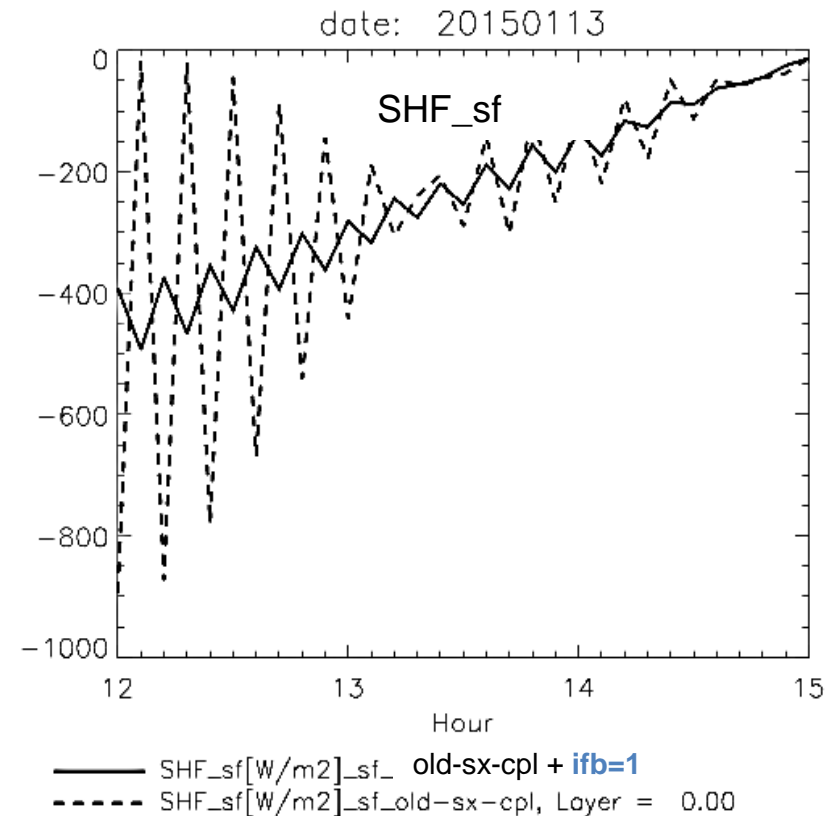
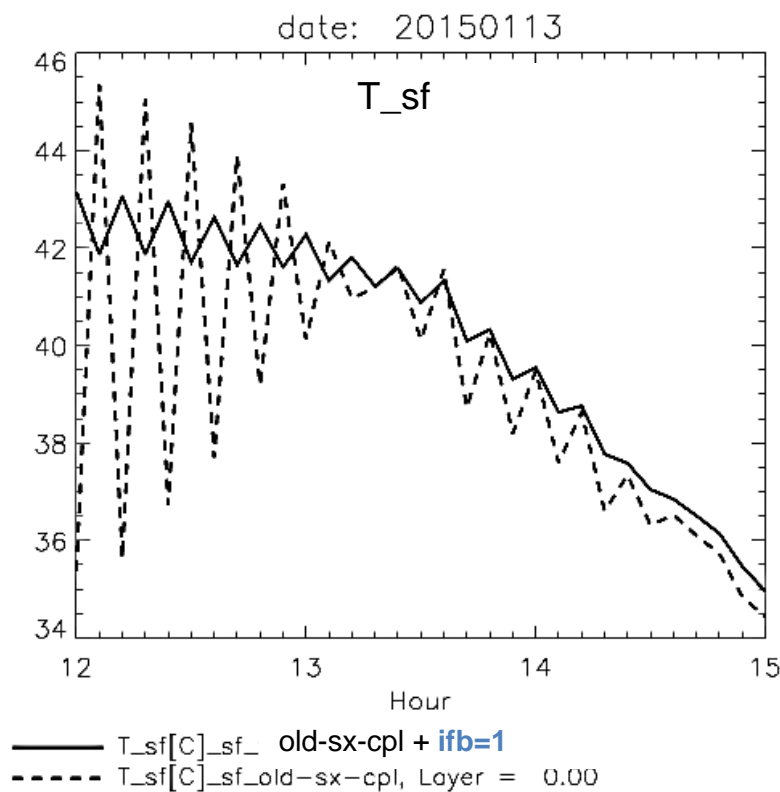
ifb: degree of implicitness for effective surface fluxes used in the heat budgets

Default for test: $isc=1$; $fes=1$; $ifb=1$ (full implicit solution active) - modified for diagnostic points



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- **Oscillations strongly reduced by ifb=1 (implicit flux increments active for soil forcing)**



Implicit increments of atmospheric transfer velocities: (already implemented)

- Remaining oscillations may be due a **hidden T_{Sx} -dependency** of the **transfer velocity for heat u_s^H** , which controls the **virtual conductivities** of SHF_{Sx} and LHF_{Sx} :

$$\partial_{T_{Sx}} [SHF]_{Sx}^0 = -[\rho_s u_s^H]^0 \cdot c_p \qquad \partial_{T_{Sx}} [LHF]_{Sx}^0 = -[\rho_s u_s^H \cdot f_{Sx}^{red} \cdot d_T q_v^{sat} \cdot L_{ev}] (T_{Sx}^0)$$

$$[u_s^H]^0 \rightarrow u_s^H := [u_s^H]^0 + \partial_{T_{Sx}} [u_s^H]^0 \cdot (T_{Sx} - T_{Sx}^0)$$

- The implicit heat budgets for Sf and Sn become **quadratic** in T_{Sx} :
- From **solutions T_{Sx}^*** of the **decoupled** versions of these **implicit quadratic** equations:

$$[u_s^H]^* = [u_s^H]^0 + \partial_{T_{Sx}} [u_s^H]^0 \cdot (T_{Sx}^* - T_{Sx}^0)$$

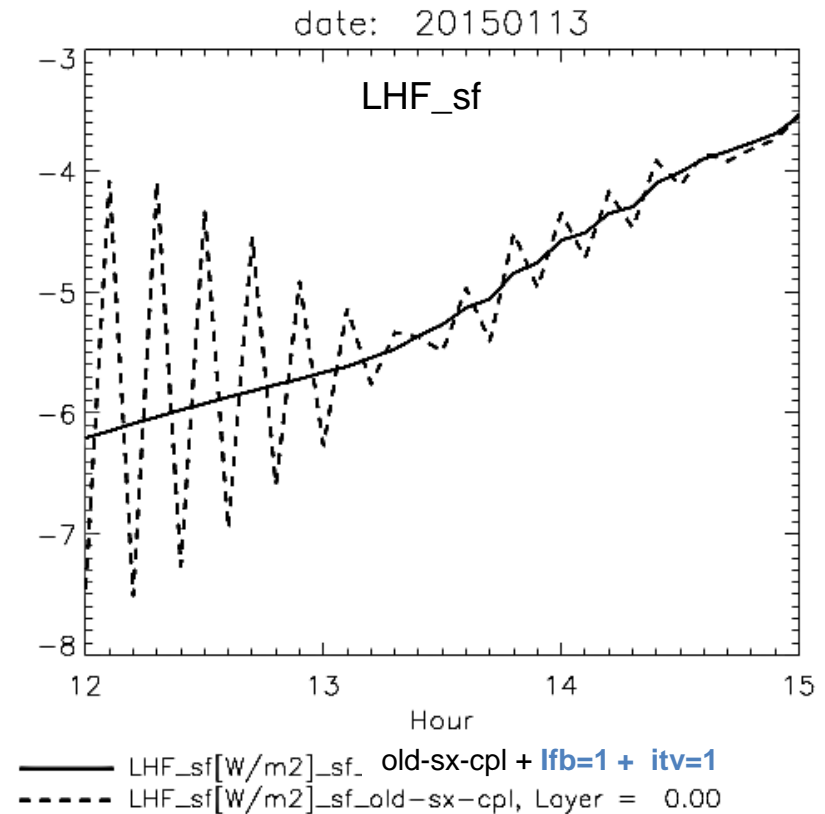
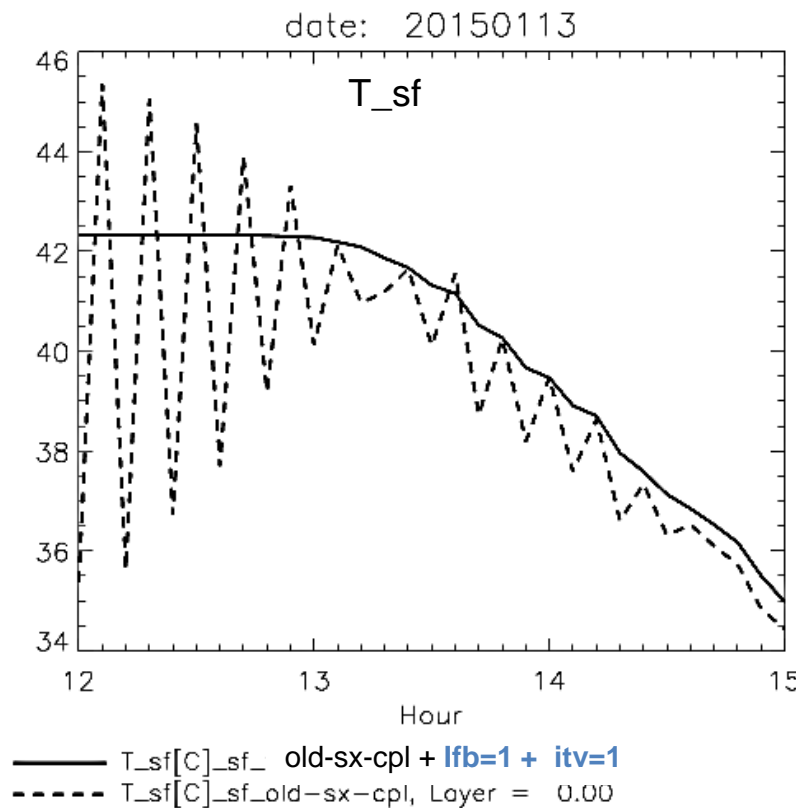
- This **updated transfer velocity $[u_s^H]^*$** is used in the **subsequent linear system**.
- The factor of the **linear T_{Sx} -dependency of the transfer-velocity** is estimated by **registration**:

$$\partial_{T_{Sx}} [u_s^H]^0 \approx \frac{[u_s^H]^0 - [u_s^H]^{-1}}{T_s^0 - T_s^{-1}} \qquad T_s := (1 - f_{Sn}) \cdot T_{Sf} + f_{Sn} \cdot T_{Sn}$$



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- **Oscillations almost completely eliminated by**
 - **Similar result but a bit larger daily amplitudes**
- itv=1: full consideration of implicit T_{sx}-dependency in atmospheric transfer velocity**
- fes=1: full consideration of flux-equilibrium at the sf surface**

ifb=1 + itv=1

ifb=1 + itv=1 + fes=1 (not shown)



Current state :

- **Major adaptations** in TERRA, TURBTRAN (and related interfaces) introduced into **ICON-branch**:
 - **Restructuring** the **sequence of processes**
 - **Removal** of various, now **detrimental limitations** all over the code
 - **Reformulations** related to **variable-redistribution for dynamic snow-tiles**
 - **Generalization** of **snow-cover diagnostics**
 - **Substitution** of previous descriptions by **new formulations**
 - **Implementation** of **new features**
 - **Sanity-checks** performed:
 - **numerically stable** even for **large time steps**
 - some **remaining oscillations** due to **phase-transitions of snow or soil-water**
 - almost **minor differences** compared to operational version, **but:**
 - the so far **inconsistent** treatment of **rime as part of w_{sf}** had to be **removed!**
 - **positive effect of this feature no longer present!**
- ➔
- ❖ **consistent formulation of a 2-phase interception-store**
 - ❖ **together with the so far missing implicit formulation of w_{sf} -evolution**



New implicit and simultaneous incrementation of interception water:
(partly implemented)

$$\frac{w_{Sf} - w_{Sf}^0}{\Delta t} = PWF_{Sf} + VWF_{Sf} + DWF_{Sf} \quad PWF : \text{given precipitation-water flux-density}$$

$$VWF_{Sf} = -f_{Sf}^{cov}(w_{Sf}) \cdot VWF_{Sf}^{pot}(T_{Sf}^0) \quad : \text{current water-vapour flux-density}$$

|
 explicit potential evaporation (negative for dew- or rime-fall, where $f_{Sf}^{cov}(w_{Sf}) \equiv 1$)

|
 linear cover-function: $f_{Sf}^{cov}(0) = 0$ $f_{Sf}^{cov}(w_{Sf}^{max}) = 1$ (for real evaporation)

$$DWF_{Sf} = -f_{Sf}^{dpr}(w_{Sf}) \cdot DWF_{Sf}^{ref} \quad : \text{current drip-water flux-density}$$

|
 explicit reference value at $f_{Sf}^{dpr} = 1$ (parameter of the scheme)

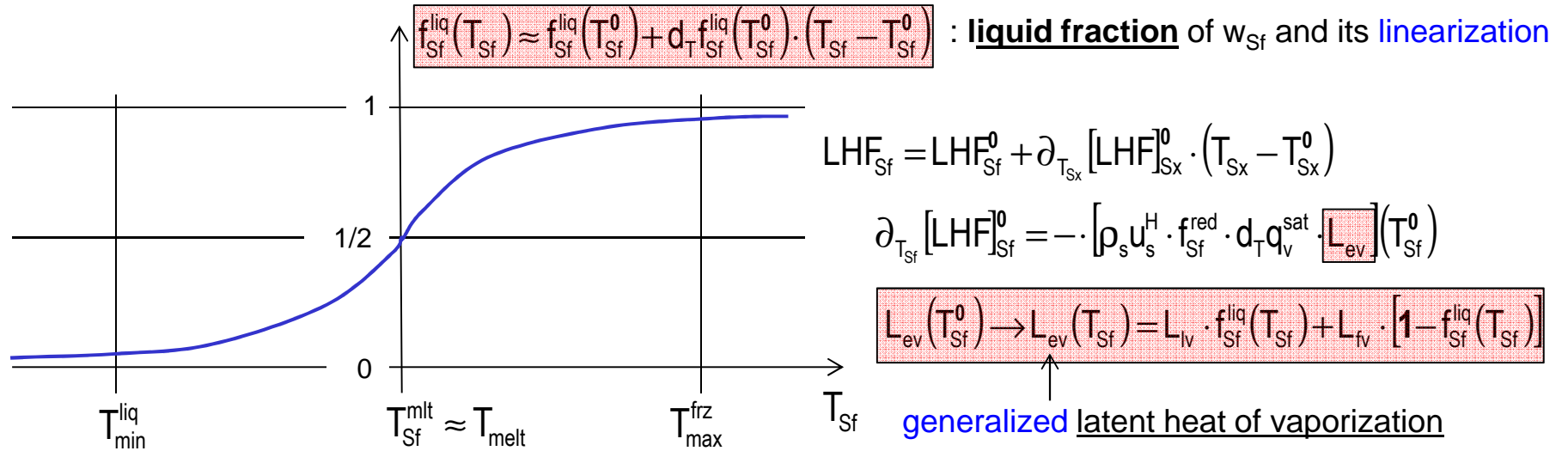
|
 rational drip-function: $f_{Sf}^{dpr}(0) = 0$ $f_{Sf}^{dpr} \xrightarrow{w_{Sf} \rightarrow w_{Sf}^{max}} \infty$

- ➡
- ❖ **Quadratic equation for $0 \leq w_{Sf} \leq w_{Sf}^{max}$; automatically positive-definite and limited**
 - ❖ **Simultaneous consideration of all sources and sinks**
 - ❖ **VWF_{Sx}^{pot} still depends on previous surface temperature T_{Sf}^0**
 - **No implicit coupling between hydrological and thermal equations yet!**
 - **Lower atmosph. BC: explicit VWF_{Sx}^0 and corrected $SHF_{Sx} = SHF_{Sx}^0 + \Delta THF_{Sx}$!!**



Implicit freezing and melting of interception water and precipitation: (being implemented)

- At least for $T_{\min}^{\text{liq}} \leq T_{\text{Sf}} \leq T_{\max}^{\text{frz}}$ **liquid and frozen interception-water coexists** with a **smooth transition**.



$$\text{PHF}_{\text{Sf}} = \text{PHF}_{\text{Sf}}^0 + \partial_{T_{\text{Sf}}} [\text{PHF}]_{\text{Sf}}^0 \cdot (T_{\text{Sf}} - T_{\text{Sf}}^0) \quad : \text{latent heat flux-density due to rain} \leftrightarrow \text{snow transition including implicit extension}$$

$$\text{PHF}_{\text{Sf}}^0 = L_{\text{fl}} \cdot [\text{RWF}_{\text{Sf}} - (\text{RWF}_{\text{Sf}} + \text{SWF}_{\text{Sf}}) \cdot \boxed{f_{\text{Sf}}^{\text{liq}}(T_{\text{Sf}}^0)}] \quad : \text{related explicit part}$$

$$\partial_{T_{\text{Sx}}} [\text{PHF}]_{\text{Sx}}^0 = -L_{\text{fl}} \cdot \left(\text{RWF}_{\text{Sf}} + \text{SWF}_{\text{Sf}} + \frac{W_{\text{Sf}}^0}{\Delta t} \right) \cdot \boxed{d_{T_{\text{Sf}}} f_{\text{Sf}}^{\text{liq}}(T_{\text{Sf}}^0)} \quad : \text{related virtual conductivity including associated phase transition of present interception water } W_{\text{Sf}}^0$$

- Introducing LHF_{Sf} and PHF_{Sf} in **decoupled T_{Sf} -equation** and solving this in **quadratic approximation**:

- **Correct** and **implicit** treatment of **liquid and frozen interception water**
- Final T_{Sf} is in **dynamical accordance** with **complete turnover of latent heat**.



Next steps:

- **Completion** of **running implementations** related to **interception water**
- **Running** chain of **test-cases**
- **Performing** some **code-optimizations** in terms of **vectorization**
 - ➔ ❖ **1-st official ICON-release -> COSMO**
- **Adding** **melting of snow** and **freezing/melting of soil-ice** into the **implicit heat budgets**
 - ➔ ❖ **2-nd official ICON-release -> COSMO**
- **Incorporation** of a **multi-layer snow-model**
- **Introducing** the extension with a **decoupled, substantial and semi-transparent cover-layer**, including
 - the **partitioning of fluxes** into those related to B and C
 - expressions for the **additional conductivity** α_B^C

and the **additional heat capacity** C_c :

$$\text{THF}_C - \text{GHF}_C = [\rho_c C_c]^0 \frac{T_{Cm} - T_{Cm}^0}{\Delta t} \quad T_{Cm} = \frac{1}{2} \cdot (T_C + T_B) \quad \text{linear vertical T-profile of R-layer}$$

$$\text{GHF}_C = - \frac{\alpha_B^C \cdot \alpha_{B1}^B}{\alpha_B^C + \alpha_{B1}^B} \cdot (T_C - T_{B1})$$

C_c due **mass of R-elements and interception water**
 α_B^C due to **exchange of SH and LR between B and C**

- based on an **already developed prototype**, present in an **older test-version of COSMO!**
- largely **prepared just by the current implementations** into ICON!
- **removal** of remaining conceptual deficiencies!
- **significant impact** on simulated properties!



- **n cover layers** including the **surface of the dense soil** (n=0) are connected by long-wave radiation interaction and sensible heat exchange

→ **thermally decoupled roughness elements (shading)**

- Only a part of the inner surfaces is connected to **A** by the resistance chain, the other part is for the inter-surface exchange

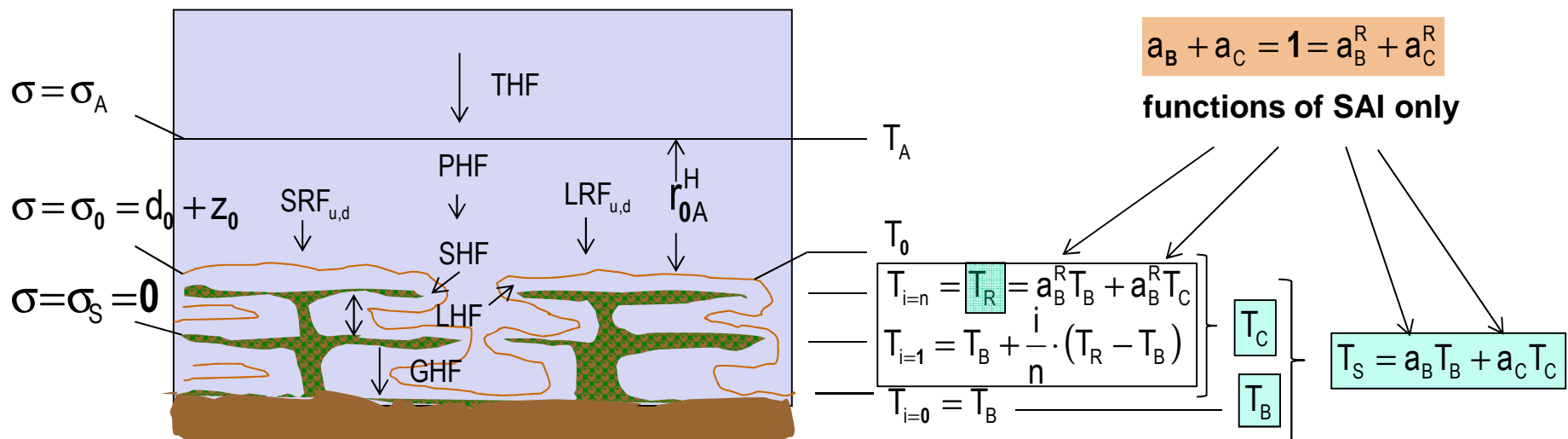
→ strongly effects the **LAI-impact of transpiration!**

$$r_{SA}^H = r_{S0}^H + r_{0A}^H$$

$$r_{S0}^H = \frac{1}{\kappa S_0 \cdot u_0^H} \cdot \left(\lambda^H + \ln \frac{\kappa z_0 u_0^H}{k^H} \right) = \frac{1}{\kappa u_0^H} \cdot \ln \left[\frac{z_0}{z_0^H} \right]$$

$$SAI = 2n + 1 = 2 \cdot LAI + c_Ind$$

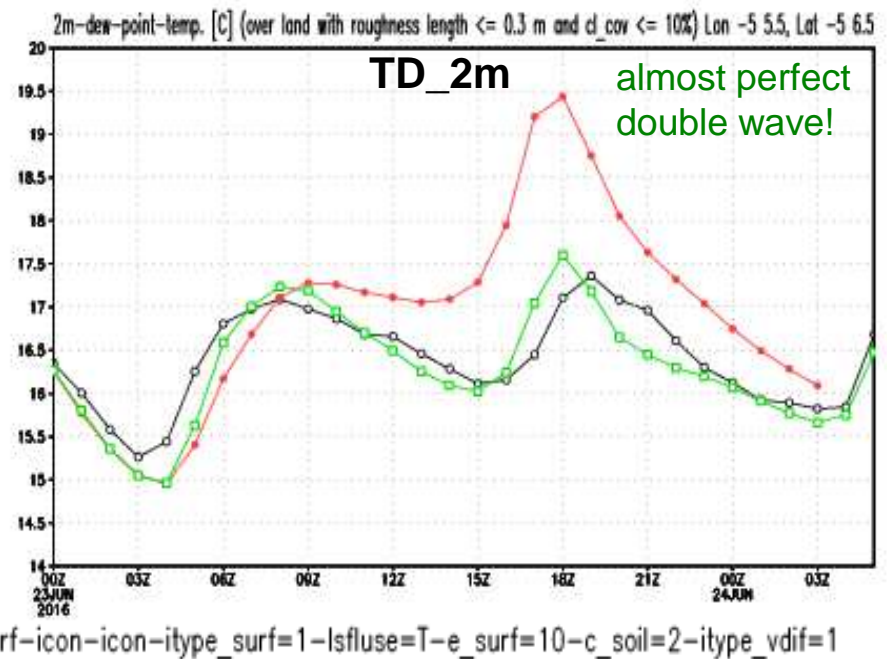
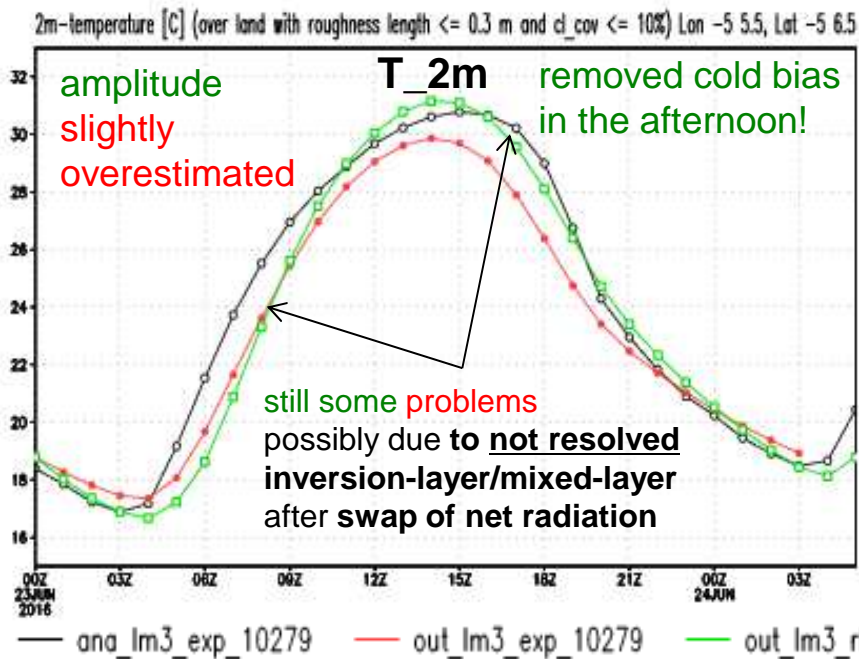
$$S_0 = \frac{(SAI - 1) \cdot (SAI_\infty - 1)}{(SAI - 1) + (SAI_\infty - 1)} + 1 \quad \begin{cases} = 1 & , SAI = 1 \\ \rightarrow SAI_\infty & , SAI \rightarrow \infty \end{cases}$$



- A more advanced **semi-transparent C-layer extension** (by M. Raschendorfer) with **parameterized heat-conduction** and **heat storage** of the **full roughness cover** (e.g. plant canopy) is **being adapted** from an existing test-version prepared last year within COSMO.
 - The **final combination** with the **reformulated budgets** will **include all related partial development!**
- 2) Experiment with **the existing test-version in COSMO:**
 - **COSMO-DE** with lateral boundaries from **ICON-EU**
 - **domain averaged** daily cycles of near-surface variables
 - **almost saturated soil** due to long standing rain period before
 - **only for rather smooth surfaces: applied filter**
 - **almost no clouds** due to high pressure situation + **applied filter**

already shown last year

conditional diagnostic



direct analysis of T_{2m} and TD_{2m}

revised TURBDIFF imported from ICON

full C-layer treatment : semi-transparent + loosely coupled + heat-storage + adapted evapo-transpiration

Thank you for attention!