HYMACS in ICON First idealized tests and adaptions of the dynamical core to subgrid-scale mass fluxes

Michael Langguth, Volker Kuell and Andreas Bott





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- Cumulus convection: major driver for atmospheric dynamics
- NWP models (still) require convection parametrization schemes, but operate in convective grey zone
 - \rightarrow conceptual adaptions required
- ➢ Hybrid Mass Flux Convection Scheme (HYMACS) abandons assumption of local subsidence → local mass sources / sinks
- Merit of HYMACS in COSMO (Kuell and Bott, 2009, 2011; Uebel and Bott, 2015 etc.), in WRF (Ong et al., 2017)



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HYMACS core

HYMACS introduces an additional convective mass flux term J_c in the continuity equation

$$\frac{\partial \rho}{\partial t} = -\nabla \cdot (\rho \mathbf{v}_{e}) + \left. \frac{\partial \rho}{\partial t} \right|_{conv} = 0 \quad \text{with} \left. \frac{\partial \rho}{\partial t} \right|_{conv} = -\nabla \cdot \mathbf{J}_{c} = -\frac{1}{A_{c}} \left(\frac{\partial M_{u}}{\partial z} + \frac{\partial M_{d}}{\partial z} \right)$$

Local change in density through **grid scale** (calculated in the dynamical core) <u>and</u> **convective** (to be parametrized) mass flux divergence

- > Physics-dynamics coupling is neither isobaric nor isochoric!
- Cloud model also gives convective tendencies of enthalpy h, of specific moisture species q^x and horizontal momentum v_h
- Convective Exner pressure tendency:

$$\frac{\partial \pi}{\partial t}\Big|_{\text{conv}} = f\left(\frac{\partial h}{\partial t}\Big|_{\text{conv}}, \frac{\partial \rho}{\partial t}\Big|_{\text{conv}}, \frac{\partial q^{x}}{\partial t}\Big|_{\text{conv}}\right)$$



Approach

Compare mass lifting experiments in ICON with experiments in COSMO (see *Kuell et al., 2007*)

General Model Setup

Dry, polytrope $\left(\frac{dT}{dz} = -6 \text{ K/km}\right)$ background atmosphere at rest

69 equidistant vertical levels ($\Delta z = 300 \text{ m}$)

Rayleigh sponge layer $@z \ge 14 \text{ km}$

Central grid column with mass sink (bottom layer) and mass source $(@z = 8.85 \text{ km}) \rightarrow 1$ hour "convective cell"

Mass transfer only \rightarrow no enthalpy tendency

Specific COSMO Setup	Specific ICON Setup
Rectangular grid with	Triangular grid with
$\Delta x_{cos} = 0.0625^{\circ} \cong 7 \text{ km}$	$\Delta l_e = 10.5 \text{ km} (A_{ICON} \cong A_{cos})$



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Results of COSMO simulation (v5.1., reference):

Dynamical flow response and gravity wave emission



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Results of an ad-hoc implementation in ICON:





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Results of an ad-hoc implementation in ICON:





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Results of an ad-hoc implementation in ICON:



> Distorted dynamical response due to **anisotropic** 4th order divergence damping

$$\frac{\partial v_{n}}{\partial t} + adv(v_{n}) = -c_{pd}\theta_{v}\frac{\partial u}{\partial n} + F_{s}(v_{n}) + F_{d}(\mathbf{v})$$

with $F_{d}(\mathbf{v}) = -f_{d}\overline{A}_{c}^{2}\nabla_{n}\left(\widetilde{\nabla}\cdot\left[\nabla_{n}\left(D_{h} + \frac{\partial w}{\partial z}\right)\right]\right)$



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Results of an ad-hoc implementation in ICON:



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Vertical divergence affects v_n , but w is unaffected

So, what is the task of the divergence damping in ICON?

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The Checkerboard Problem

- Major obstacle during development of ICON: Divergence polluted with checkerboard noise
- ➤ Inherent property of the triangular grid (Gassmann, 2011):
 - Vector components in trivariate coordinate system are linearly dependent

$$\tilde{\mathbf{v}}_{\mathbf{n},1} + \tilde{\mathbf{v}}_{\mathbf{n},2} + \tilde{\mathbf{v}}_{\mathbf{n},3} = \mathbf{0}$$

- Any violation of the constraint gives raise to checkerboard noise
- > Numerical filter (divergence damping, hyper-diffusion etc.) is indispensable !!!







Mitigating the Checkerboard Problem

Known approaches:

- 4th order divergence damping acting on 3D divergence (operational, see Zaengl et al. (2015))
- Hyper-diffusion (i.e. as in Wan et al. (2013); different discretizations possible)

New approach:

- → Combination of divergence damping techniques (CDD)
- 4th order divergence damping acting on 2D divergence
- Compatible with HYMACS
- Very efficient noise filter
- Isotropic 2nd order divergence damping
- Compatible with HYMACS
- No degeneration of gravity waves

Less efficient noise filter

Damping of gravity waves





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Results with combined divergence damping in dynamical core:

Dynamical flow response





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Results with combined divergence damping in dynamical core:

Dynamical flow response



COSMO (reference)

Combined divergence damping



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Results with combined divergence damping in dynamical core:

Gravity wave emission





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Results with combined divergence damping in dynamical core:

Quantifying Checkerboard-Noise in energy spectra

 \rightarrow interpolation of horizontal wind onto regular grid ($\Delta x = 0.87 \Delta l_e$ following *Dipankar et al.* (2015)) in ICON



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Results with combined divergence damping in dynamical core:

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Conclusion and Outlook

HYMACS in ICON is not trivial...

- no conventional physics-dynamics coupling
- inherent checkerboard problem in divergence of the triangular grid
 - A HYMACS forces divergent/convergent wind pattern at smallest model scale
 - Conventional numerical filter inappropriate

Implementation of a combined divergence damping

- Compatible with HYMACS
- Effective reduction of checkerboard noise
- Comparable performance in Jabolonowski-Williamson test cases

Further steps

- Idealized tests with moisture (activated cloud model)
- Real case tests in ICON-LAM



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- > Virtues of introducing net mass transfer in simulating deep convection:
 - Theoretically: idealized experiments of convective systems (*Shutts and Gray, 1994; Gray et al., 1998; Gray, 1999; Chagnon and Bannon, 2006; Kuell et al., 2007* etc.)
 - Practical applications: HYMACS in COSMO
- Case study with COSMO (v5.01) during a persistent high-over-low weather situation over Central Europe in June 2016:
 - Seven 24h-simulations with different CPS: HYMACS (HYM) and Tiedtke (Tie)
 - Verification against RADOLAN observations



Rel. Topography, 500 hPa Geopotential, PMSL



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Spatial verification:

(Bias-corrected) Fractional Skill Score-analysis:



+ Better simulation of precipitation patterns with HYMACS



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Diurnal cycle:

Area-integrated precipitation rates:



+ Better representation of the diurnal cycle with HYMACS



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Diurnal cycle:

Precipitation rates on 4th June 2016 20 UTC:



+ Better representation of the diurnal cycle with HYMACS



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HYMACS - Theoretical Background

- > Arbitrary volume consisting of environmental and convective part with partial densities ρ_e and ρ_c
- > Momentum of conv. part $\tilde{\mathbf{v}}_c$ as superposition of environmental \mathbf{v}_e and convective \mathbf{v}_c
- Balance equation (no internal sources):

$$\frac{\partial \rho}{\partial t} = 0 = \frac{\partial \rho}{\partial t} + \nabla \cdot (\rho \mathbf{v}_{e} + \rho_{c} \mathbf{v}_{c})$$
$$= \frac{\partial \rho}{\partial t} + \nabla \cdot (\rho \mathbf{v}_{e}) + \nabla \cdot \mathbf{J}_{c}$$

Dominance $+ \frac{\partial M_{d}}{\partial z}$ $v = v_{e} + v_{c} = v_{e} + \sum_{i}^{n} v_{c,i}$ Barycentric momentum: $\rho v = \rho_{e} v_{e} + \rho_{c} \tilde{v}_{c}$

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Physics-dynamics coupling

> Convective tendency of any scalar ψ considering mass transfer

$$\begin{aligned} \frac{\partial \psi}{\partial t} \bigg|_{conv} &= \left(\frac{\rho(t^{n})}{\rho^{*}} - 1 \right) \frac{\psi(t^{n})}{\Delta t} - \frac{1}{A\Delta z \rho^{*}} \left(\epsilon_{u,d} \psi - \delta_{u,d} \psi_{u,d} \right) \\ \text{with } \rho^{*} &= \rho(t^{n}) + \Delta t \frac{\partial \rho}{\partial t} \bigg|_{conv} \end{aligned}$$

- > Update of density ρ and Exner pressure π through convective tendencies in the dynamical core
- > π -tendency derived from 1st law of thermodynamics:

$$\frac{\partial \pi}{\partial t}\Big|_{\text{conv}} = \frac{R_{d}}{c_{vd}} \frac{\pi}{\rho} \frac{\partial \rho}{\partial t}\Big|_{\text{conv}} + \frac{1}{\theta_{v}} \frac{R_{d}(1+\alpha)}{c_{vd}c_{pd}} \frac{\partial h}{\partial t}\Big|_{\text{conv}} + \frac{R_{d}\pi}{c_{vd}(1+\alpha)} \frac{\partial \alpha}{\partial t}\Big|_{\text{conv}}$$

with $\alpha = \left(\frac{R_{d}}{R_{v}} - 1\right) q^{v} - q^{1} - q^{f}$

 Update of moisture species q^x and momentum v_n at physical time step (conventional approach)



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Similarity of diffusion and divergence damping

> Former diffusion operator based on identity of vector laplacian (Wan et al., 2013)

$$\nabla^2 \mathbf{v} = \nabla (\nabla \cdot \mathbf{v}) - \nabla \mathbf{x} (\nabla \mathbf{x} \mathbf{v})$$

$$\Rightarrow \qquad \left(\nabla_{d}^{2} \mathbf{v}\right)_{e} \cdot \mathbf{N}_{e} = \nabla_{n}(D_{h}) - \nabla_{t}\zeta$$

> Properties of the fourth-order hyper laplacian $(\nabla_d^4 \mathbf{v})_{\mathbf{e}} \cdot \mathbf{N}_{\mathbf{e}} = \nabla_d^2 (\nabla_d^2 \mathbf{v})$

Advantage	Disadvantage
 Effective noise removal 	 High diffusivity required for effective noise removal
	 Numerical errors near pentagon points (→ distorted triangles)

 \succ First term of hyper laplacian operator **corresponds** to fourth order divergence damping term (involving D_h)

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Fourth order divergence damping may be tuned to remove checkerboard pattern <u>without</u> excessive diffusivity



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Isotropic 2nd order divergence damping

- implicit numerical solution process for prognostic vertical wind
 standard algorithm for three-band matrix (Thomas algorithm)
- Damping term applied in corrector step of two-timelevel integration scheme

$$AD = f_{d,2o}A_c \left(\beta_1 \left(\frac{\partial D_h}{\partial z} + \frac{\partial}{\partial z} \left(\frac{\partial w}{\partial z}\right)\right)^{n+1} + \beta_2 \left(\frac{\partial D_h}{\partial z} + \frac{\partial}{\partial z} \left(\frac{\partial w}{\partial z}\right)\right)^{n+1*}\right)$$

with $\beta_1 = 1 - \beta_2$: Crank–Nicholsen parameter

and n+1: new timelevel, n+1*: intermediate timelevel

- > Less scale-selective than 4th order divergence damping
- > Averaging of D_h for *pure* 2nd order divergence damping \rightarrow reduce noise, but not fully isotropic





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Jabolonowski-Williamson test cases

- Standard test for dyn. cores of global models (Jabolonowski and Williamson, 2006)
- Steady state configuration:
 - Zonally symmetric, strong baroclinic atmosphere
 - No perturbation imposed, i.e. hydrostatic and geostrophic balance
- ➢ Grid imprinting due to numerical discretization errors become visible → baroclinic wave development
- > L2 error of surface pressure > 0.5 hPa \rightarrow initial state broken





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Baroclinic wave test case

- > Baroclinic wave configuration:
 - Initialization with zonal wind pertubation
 - \rightarrow triggers (explosive) baroclinic wave train after 7 days





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Baroclinic wave test case

- > *Baroclinic wave* configuration:
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✓ Baroclinic wave train practically indistinguishable until simulation day 13



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Noise in the dissipation phase



- > Slower dissipation at shortest wave spectrum $\lambda \sim 2\Delta x$
- > Quicker dissipation at $\lambda \sim (3-5)\Delta x$ due to 2nd order divergence damping

Further testing required with focus on real case studies



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